## **NOTICE INVITING TENDER (NIT)**

# (e-Tender)

### 1.1 GENERAL

### 1.1.1 Name of Work and Details:

Delhi Metro Rail Corporation Limited (DMRC) open e-tenders from eligible applicants, who fulfill the "Evaluation Criteria" as per Annexure -7 of Instructions to tenderer (ITT) and "Qualification Criteria" as stipulated in Clause 1.1.3 of NIT, for the work of **Contract CS-12B:** Design, manufacture, supply, installation, testing & commissioning of telephone system and 48V DC power supply system for Dilshad Garden – New Bus Adda Ghaziabad and Noida City centre- Noida Electronic City of Delhi MRTS Phase-III projects..

The brief scope of work and site information is provided i ITT clause A1 & Employers Requirement (Volume-3)

# 1.1.2 Key Details:

Approximate cost of Work	INR 8.25 Cr	
	Amount of Tender Security:- INR 8.25 Lakhs	
	Validity of Tender Security: - 13.12.2016	
Tender Security amount	Tender Security (in original) as per clause C18 of	
Tender Security amount	ITT shall be accepted only up to 1500 hrs on	
	18.04.2016 in the office of Executive	
	Director/Contracts at address mentioned herein	
	after.	
Completion period	21 months	
	INR 21,000/- (inclusive of 5% VAT)–Non refundable.	
	(Demand Draft /Banker's cheque drawn on a	
	Scheduled Commercial Bank based in India and	
	should be in favour of "Delhi Metro Rail Corporation	
Cost of Tender documents	Ltd" payable at New Delhi)	
	Cost of tender documents i.e. D.D. / Banker's	
	cheque, in original, shall be accepted only up to	
	1500 hrs on 18.04.2016 in the office of Executive	
	Director/Contracts at address mentioned herein after.	
	From 10.03.2016 to 18.04.2016 (up to 1100 hrs) on	
	e-tendering website www.tenderwizard.com/DMRC	
	Tender document can only be obtained online after	
Tender documents on sale	registration of tenderer on the website	
Total assuments on said	www.tenderwizard.com/DMRC. For further	
	information in this regard bidders are advised to	
	contact on 011-49424307, 011-49424365 or 011-	
	23417910	

	22.03.2016 up to 1730 hrs.	
Last date of Seeking Clarification	Queries/clarifications from bidders after due date and	
	time shall not be acknowledged.	
Pre-bid meeting	21.03.2016 at 1100 hrs.	
	04.04.0040	
Last date of issuing addendum	01.04.2016	
	40.04.0040 ( , 4500   )	
Date & time of Submission of Tender online	18.04.2016 (up to 1500 hrs)	
Date & time of opening of Tender online	18.04.2016 at 1505 hrs	
g ar arms or opening or remain erimine		
Authority for purchase of tender	Executive Director/Contracts	
documents, seeking clarifications	Delhi Metro Rail Corporation,	
and submission of completed	5 <sup>th</sup> floor, A-Wing, Metro Bhawan,	
tender documents	Fire Brigade Lane, Barakhamba Road,	
Place of pre-bid meeting	New Delhi –110 001	

#### 1.1.3 QUALIFICATION CRITERIA:

# 1.1.3.1 Eligible Applicants:

- (i) The tenders for this contract will be considered only from those tenderers (proprietorship firms, partnerships firms, companies, corporations, consortia or joint ventures) who meet requisite eligibility criteria prescribed in the sub-clauses of Clause 1.1.3 of NIT. In the case of a JV or Consortium, all members of the Group shall be jointly and severally liable for the performance of whole contract.
- (ii) A non-Indian firm is permitted to tender only in a joint venture or consortium arrangement with any other Indian firm having minimum participation interest of 26% or their wholly owned Indian subsidiary registered in India under Companies Act-1956 with minimum 26% participation.
  - (b) A tenderer shall submit only one bid in the same tendering process, either individually as a tenderer or as a partner of a JV. A tenderer who submits or participates in, more than one bid will cause all of the proposals in which the tenderer has participated to be disqualified. No tenderer can be a subcontractor while submitting a bid individually or as a partner of a JV in the same bidding process. A tenderer, if acting in the capacity of subcontractor in any bid, may participate in more than one bid, but only in that capacity.
- (iii) Tenderers shall not have a conflict of interest. All Tenderers found to have a conflict of interest shall be disqualified. Tenderers shall be considered to have a conflict of interest with one or more parties in this bidding process, if:
  - (a) a tenderer has been engaged by the Employer to provide consulting services for the preparation related to procurement for on implementation of the project;
  - (b) a tenderer is any associates/affiliates (inclusive of parent firms) mentioned in subparagraph (a) above; or
  - (c) a tenderer lends, or temporarily seconds its personnel to firms or organisations which are engaged in consulting services for the preparation related to procurement for on implementation of the project, if the personnel would be involved in any capacity on the same project.

- (iv) A firm, who has purchased the tender document in their name, can submit the tender either as individual firm or in joint venture/Consortium. However, the lead partner in case of JV shall be one who has experience of similar nature as defined in clause 1.1.3.2 A of NIT.
- (v) Any Central / State government department / public sector undertaking / other government entity or local body must not have banned business with the tenderer (any member in case of JV) as on the date of tender submission. Also no contract of the tenderer should have been rescinded / terminated by DMRC after award during last 5 years due to non-performance of the tenderer or any of JV/Consortium members. The tenderer should submit undertaking to this effect in **Appendix-21** of Form of Tender.
- (vi) Tenderer (any member in case of JV/consortium) must not have been imposed liquidated damages of 10% (or more) of the contract value in a contract due to delay or penalty of 10% (or more) of the contract value due to any other reason during last five years. The tenderer should submit undertaking to this effect in **Appendix-22** of Form of Tender.
- (vi) Tenderer (any member in case of JV/consortium) must not have suffered bankruptcy/ insolvency during the last 5 years. The tenderer should submit undertaking to this effect in **Appendix-23** of Form of Tender.

## (vii) LEAD PARTNER/ NON SUBSTANTIAL PARTNERS/ CHANGE IN JV/ CONSORTIUM

- a. Lead partner must have a minimum of 26% participation in the JV/Consortium.
- b. Partners having less than 26% participation will be termed as non-substantial partner and will not be considered for evaluation which means that their financial soundness and work experience shall not be considered for evaluation of JV/Consortium.
- c. In case of JV/Consortium, change in constitution or percentage participation shall not be permitted at any stage after their submission of application otherwise the applicant shall be treated as nonresponsive.
- The tenderer, in case of JV/Consortium, shall clearly and unambiguously define the role and responsibilities for each substantial/non-substantial partner in the JV agreement/MOU submitted vide foot note (d) of **Appendix 10** of Form of Tender, providing clearly that any abrogation/subsequent reassignment of any responsibility by any substantive/ non-substantive partner of JV/Consortium in favour of other JV/Consortium partner or any change in constitution of partners of JV/Consortium (without written approval of Employer) from the one given in JV agreement / MOU at tender stage, will be treated, as 'breach of contract condition' and/or 'concealment of facts' (as the case may be), vide GCC clause 4.33.1 [a (ii) and (iii)] and acted accordingly.

The Employer in such cases, may in its sole discretion take action under clause 4.33.1 (b) and/or under clause 4.33.1(c) of GCC against any member(s) for failure in tenderer's obligation and declare that member(s) of JV/Consortium ineligible for award of any tender in DMRC or take action to terminate the contract in part or whole under clause 13 of GCC as the situation may demand and recover the cost/damages as provided in contract.

## 1.1.3.2 Minimum Eligibility Criteria:

- A. A. Work Experience: The tenderers will be qualified only if they have completed work(s) during last ten years ending 31.03.2016 as given below:
- (i) At least one "similar work" of value INR 5.00 crores or more.

OR

(ii) Two "similar work" each of value INR 3.30 crores or more.

OR

(iii) Three "similar work" each of value INR 2.06 crores crores or more.

OR

- (iv) "Similar work" for at least ten no. of stations in not more than 3 works/ Contracts.
- If the tenderer is a JV/Consortium having foreign partner(s) and above work(s) have been executed by the foreign partner of JV and the work(s) were done in the country of the foreign partner, then in addition to this the foreign partner must have executed works of value **INR 2.06 crores or more** outside the country of the foreign partner.
- The "Similar works" for this contract shall be design, manufacture, supply, installation, testing and commissioning of telephone system of minimum 64 lines EPABX, confirming to ITU-T standards.

### Notes:

- The tenderer shall submit details of works executed by them in the Performa of Appendix-19 of FOT for the works to be considered for qualification of work experience criteria. Documentary proof such as completion certificates from client clearly indicating the nature/scope of work, actual completion cost and actual date of completion for such work should be submitted. The offers submitted without this documentary proof shall not be evaluated. In case the work is executed for private client, copy of work order, bill of quantities, bill wise details of payment received certified by C.A., T.D.S certificates for all payments received and copy of final/last bill paid by client shall also be submitted.
- Value of successfully completed portion of any ongoing work up to 31.03.2016 will also be considered for qualification of work experience criteria.
- For completed works, value of work done shall be updated to 31.03.2016 price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year. The exchange rate of foreign currency shall be applicable 28 days before the submission date of tender.
- In case of joint venture / Consortium, full value of the work, if done by the same joint venture shall be considered. However, if the qualifying work(s) were done by them in JV/Consortium having different constituents, then the value of work as per their percentage participation in such JV/Consortium shall be considered.

If the above work(s) (i.e. design, manufacture, supply, installation, testing and commissioning of telephone system of minimum 64 lines EPABX, confirming to ITU-T standards) comprise other works,

then client's certificate clearly indicating the amount of work done in respect of the "similar work" shall be furnished by the tenderer in support of work experience along-with their tender submissions.

- **B. Financial Standing:** The tenderers will be qualified only if they have minimum financial capability as below:
  - (i) **T1 Liquidity:** It is necessary that the firm can withstand cash flow that the contract will require until payments received from the Employer. Liquidity therefore becomes an important consideration.

This shall be seen from the balance sheets and/or from the banking reference. Net current assets and/or documents including banking reference (as per proforma given in Annexure-8 of ITT), should show that the applicant has access to or has available liquid assets, lines of credit and other financial means to meet cash flow of **INR 1.17 crore** for this contract, net of applicant's commitments for other Contracts. Banking reference should contain in clear terms the amount that bank will be in a position to lend for this work to the applicant/member of the Joint Venture/Consortium. In case the Net Current Assets (as seen from the Balance Sheets) are negative, only the Banking references will be considered. Otherwise the aggregate of the Net Current Assets and submitted Banking references will be considered for working out the Liquidity.

The banking reference should be from a Scheduled Bank in India or (in case of foreign parties) from an international bank of repute acceptable to DMRC and it should not be more than 03 months old as on date of submission of bids.

<u>In Case of JV-</u> Requirement of liquidity is to be distributed between members as per their percentage participation and every member should satisfy the minimum requirement.

<u>Example</u>: Let member-1 has percentage participation = M and member-2 has percentage participation = N.

If minimum liquidity required is 'W' then liquidity of member- $1 \ge WM$ 

100

and liquidity of member-2 ≥ W N.

100

(ii) **T2 - Profitability:** Profit before Tax should be **Positive in at least 2 (two) years**, out of the last five audited financial years.

In Case of JV: The profitability of only lead member shall be evaluated.

(iii) T3 - Net Worth: Net Worth of tenderer during last audited financial year should be positive.

In Case of JV- Net worth will be based on the percentage participation of each Member.

<u>Example</u>: Let Member-1 has percentage participation = M and Member-2 has = N. Let the Net worth of Member-1 is 'A' and that of Member-2 is 'B', then the Net worth of JV will be

= AM+BN

(iv) T1 - Annual Turnover: The average annual turnover as defined in 17C of Performa Section –3 of last five financial years should be ≥ INR 1.65 crore.

The average annual turnover of JV will be based on percentage participation of each member.

<u>Example</u>: Let Member-1 has percentage participation = M and Member - 2 has = N. Let the average annual turnover of Member-1 is 'A' and that of Member-2 is 'B', then the average annual turnover of JV will be = AM+BN

100

#### Notes:

- Financial data for latest last five audited financial years has to be submitted by the tenderer in Appendix-20 of FOT along with audited balance sheets. The financial data in the prescribed format shall be certified by Chartered Accountant with his stamp and signature in original with membership number. In case audited balance sheet of the last financial year is not made available by the bidder, he has to submit an affidavit certifying that 'the balance sheet has actually not been audited so far'. In such a case the financial data of previous '4' audited financial years will be taken into consideration for evaluation. If audited balance sheet of any year other than the last year is not submitted, the tender may be considered as non-responsive.
- Where a work is undertaken by a group, only that portion of the contract which is undertaken by the
  concerned applicant/member should be indicated and the remaining done by the other members of the
  group be excluded. This is to be substantiated with documentary evidence.

# 1.1.3.3 Bid Capacity Criteria:

The tenderers will be qualified only if their available bid capacity is more than the approximate cost of work as per NIT. Available bid capacity will be calculated based on the following formula:

# Available Bid Capacity = 2\*A\*N - B

Where.

- A = Maximum of the value of works executed in any one year during the last five financial years (updated to **31.03.2016** price level assuming 5% inflation for Indian Rupees every year and 2% for foreign currency portions per year).
- N = No. of years prescribed for completion of the work
- B = Value of existing commitments (as on 31.03.2016) for on-going works during period of 21 months w.e.f. 01.04.2016.

## Notes:

- Financial data for latest last five financial years has to be submitted by the tenderer in Appendix-17 of FOT along with audited financial statements. The financial data in the prescribed format shall be certified by the Chartered Accountant with his stamp and signature in original with membership number.
- Value of existing commitments for on-going construction works during period of 21 months
  w.e.f. 01.04.2016 has to be submitted by the tenderer in Appendix-18 of FOT. These data
  shall be certified by the Chartered Accountant with his stamp and signature in original with
  membership number.

• In the case of a group, the above formula will be applied to each member to the extent of his proposed participation in the execution of the work. If the proposed % participation is not mentioned then equal participation will be assumed.

## Example for calculation of bid capacity in case of JV / Group

Suppose there are 'P' and 'Q' members of the JV / group with their participation in the JV / group as 70% and 30% respectively and available bid capacity of these members as per above formula individually works out 'X' and 'Y' respectively, then Bid Capacity of JV / group shall be as under:

## Bid Capacity of the JV / group = 0.7X + 0.3Y

1.1.3.4 The tender submission of tenderers, who do not qualify the minimum eligibility criteria & bid capacity criteria stipulated in the clauses 1.1.3.2 to 1.1.3.3 above, shall not be considered for further evaluation and therefore rejected. The mere fact that the tenderer is qualified as mentioned in sub clause 1.1.3.2 to 1.1.3.3 shall not imply that his bid shall automatically be accepted. The same should contain all technical data as required for consideration of tender prescribed in the ITT.

## 1.2 The Tender documents consist of:

### Volume 1

Notice Inviting Tender (NIT)
Instructions to Tenderers (ITT) with annexures
Form of Tender (FOT) with appendixes

#### Volume 2

General Conditions of Contract (GCC)

Special Conditions of contract (SCC)

Condition of Contract on Safety, Health & Environment (SHE) Ver. 1.2

#### Volume 3

# **Employers Requirement:-**

**General Specifications** 

Particular Specifications

Volume 4

**Tender Drawings** 

Volume 5

**Pricing Document** 

- 1.3 The Tenderer may obtain further information / clarification, if any, in respect of these tender documents from the office of ED/Contracts, Delhi Metro Rail Corporation, 5<sup>th</sup> floor, A-Wing, Metro Bhawan, Fire Brigade Lane, Barakhamba Road, New Delhi –110001.
- 1.4 The tenderer is hereby cautioned that tenders containing any material deviation or reservations as described in Clause. **E 4.4** of "Instructions to Tenderers" and/or minor deviation without quoting the cost of withdrawal shall be considered as non-responsive and is liable to be rejected.

- 1.5 The tenderer must be registered on e-tendering portal www.tenderwizard.com/DMRC. In case you are not registered on the e-tendering portal, then in that case you shall be required to get registered beforehand. After registration, the tenderer will get user id and password. On login, tenderer can participate in tendering process and can witness various activities of the process.
- 1.6 The authorized signatory of the tenderer, as per Power of Attorney (POA), must have valid **Class-III digital signature**. The tender document can only be downloaded or uploaded using Class-III digital signature of the authorized signatory.
- 1.7 Tender submissions will be made online after uploading the mandatory scanned documents towards cost of tender documents such as Demand Draft or Pay Order or Banker's Cheque from a Scheduled commercial bank based in India and towards Tender Security such as Bank Guarantee or Demand Draft or Pay Order or Banker's Cheque from a Scheduled commercial bank based in India and other documents as stated in the tender document.
- 1.8 The tender shall be valid for a period of **180 days** (both days inclusive i.e. the date of submission of tenders and the last date of period of validity of the tender) from the date of submission of Tender and shall be accompanied with a tender security of the requisite amount as per clause C18 of ITT.
- 1.9 DMRC reserves the right to accept or reject the proposal without assigning any reasons. The tenderer shall not have any cause of action or claim against the DMRC for rejection of his proposal.

(Sahadeva Singh)
Executive Director/ Contracts

# **PART II - ELIGIBILITY CRITERIA QUESTIONNAIRE**

This document contains proforma letters and the Eligibility Criteria Questionnaire. These are to be reproduced and completed as appropriate and submitted as part of the Applicant's eligibility criteria submission.

# **CONTENTS OF EACH SECTION**

SECTION	CONTENTS OF EACH SECTION	REMARKS
NUMBER		
1.	Proforma – General	Proforma letters will be found on page 12 to 14
	Letter of Application	of this document
-	Completed assessment	The assessment Questionnaire is found in
	Questionnaire	Eligibility Criteria Questionnaire
2.	Proforma – Section 2	A copy in English of the Memorandum and
	Memorandum and Articles of	Articles of Association (or equivalent) for an
	Association, or Partnership Deeds	incorporated applicant (or, in the case of a
		group, for each corporation forming a part of
		the applicant)/ partnership Deeds.
	Documents relating to a joint	Applicant's attention is drawn to paragraph 4 of
	venture, partnership or consortium.	Performa Section-1 - General.
	(a) Statement of participation	
	from constituent members.	
	(b) Details of previous	
	collaborations.	
	(c) Specimen Joint Venture,	
	partnership, or consortium	
	agreement and/or other documents	
	establishing or intending to	
	establish the formation of such	
	group.	
	(d) Details of proposed equity /	
	other participation and areas of	
	specialization.	
	Particulars of the authority, which	DMRC wishes to ensure that application is
	empowers the person or persons	submitted under the signature(s) of persons
	signing the letter of application,	who properly represent the applicant.
	(and if appropriate, the statement	Accordingly, copies (at this stage unattested) of
	of participation from constituent	such documents as powers of attorney, signed
	members of groups) to represent	minutes of board meetings confirming board
	the applicant.	resolutions, current published lists of executive
		directors, etc should be submitted.

3.	Proforma - Section 3	The applicant shall submit details in support of
	Documents relating to	statements in the Questionnaire or annexes
	performance, current contracts,	thereto, which relate to performance, current
	relevant experience.	contracts and relevant experience.
4.	Proforma – Section 4	The applicant shall submit details in support of
	Documents relating to Staff	statements in the Questionnaire or annexes
	Decamonic relating to Ctain	thereto which relate to it's management
		organization (existing or, in the case of new
		groups proposed), and of staff / principal or key
		members of staff as provided in the
		Questionnaire.
5.	Proforma – Section 5	Details shall be submitted giving full details of
	Documents relating to ownership	the ownership and control of the applicant (or,
	and control of an applicant (or, in	in the case of a group, each constituent
	the case of a group, each	member).
	constituent member)	
	Documents relating to the financial	The following documents should be submitted
	condition of an applicant (or, in the	in respect of each applicant, whether applying
	case of a group, each constituent	individually or as part of a group:
	member)	1. Audited accounts for each of the last five full
		accounting periods together with their
		Auditor's Certificate. Such accounts shall
		include the Profit and Loss statement and the
		Balance Sheet.
		2.A financial statement covering the period
		between the end of the last full accounting
		period and the end of March 2015. This
		statement should indicate all significant
		financial matters subsequent to the end of the
		last full accounting period. Additionally, the
		financial statement should indicate any
		significant off balance sheet liabilities,
		including contingent liabilities. The financial
		statement should be signed by the Authorized
		Representative of the respective company.

	Document relating to the holding or	If an applicant (or member of a group) is wholly
	parent company of an applicant (or	or significantly owned by a holding or parent
	in the case of group, each	companies, the information relating to audited
	constituent member).	accounts and financial statement (as described
		above) shall be provided for such intermediate
		or ultimate holding or parent companies. This
		information shall be provided in respect of all
		applicants, whether applying individually or as
		group together with Auditor's certification.
	Document including banking	In respect of all applicants (whether applying
	reference to demonstrate that the	individually or as part of a group) and all
	applicant has the liquidity to meet	holding or parent companies thereof, a bankers
	the requisite cash flow, after	reference (in English) should be provided from
	meeting requirements for known	the applicant's or company's principal bank in
	commitment.	its country of incorporation or registration. Such
		reference should indicate the financial standing
		of the applicant and access to lines of credit of
		other financial resources.
6.	Proforma Section 6	The applicant shall submit details in support of
	Document relating to sub-	statements in the Questionnaire or Annexes
	contracting	thorate which relate to sub-contracting
1 L	Contracting	thereto, which relate to sub-contracting
	Documents relating to design	The applicant shall submit details in support of
		-
	Documents relating to design	The applicant shall submit details in support of
	Documents relating to design	The applicant shall submit details in support of statements in the Questionnaire or Annexes
	Documents relating to design procurement	The applicant shall submit details in support of statements in the Questionnaire or Annexes thereto, which relate to the design team.
	Documents relating to design procurement  Documents relating to manufacture	The applicant shall submit details in support of statements in the Questionnaire or Annexes thereto, which relate to the design team.  The applicant shall submit details in support of
	Documents relating to design procurement  Documents relating to manufacture and supply of major items of	The applicant shall submit details in support of statements in the Questionnaire or Annexes thereto, which relate to the design team.  The applicant shall submit details in support of statements in the Questionnaire or Annexes
	Documents relating to design procurement  Documents relating to manufacture and supply of major items of equipment and sub-systems,	The applicant shall submit details in support of statements in the Questionnaire or Annexes thereto, which relate to the design team.  The applicant shall submit details in support of statements in the Questionnaire or Annexes thereto, which relate to manufacture and supply
7.	Documents relating to design procurement  Documents relating to manufacture and supply of major items of equipment and sub-systems, Quality Assurance and Cost	The applicant shall submit details in support of statements in the Questionnaire or Annexes thereto, which relate to the design team.  The applicant shall submit details in support of statements in the Questionnaire or Annexes thereto, which relate to manufacture and supply of major items of equipment and sub-systems,
7.	Documents relating to design procurement  Documents relating to manufacture and supply of major items of equipment and sub-systems, Quality Assurance and Cost Control	The applicant shall submit details in support of statements in the Questionnaire or Annexes thereto, which relate to the design team.  The applicant shall submit details in support of statements in the Questionnaire or Annexes thereto, which relate to manufacture and supply of major items of equipment and sub-systems, Quality Assurance and Cost Control.
7.	Documents relating to design procurement  Documents relating to manufacture and supply of major items of equipment and sub-systems, Quality Assurance and Cost Control  Proforma Section 7	The applicant shall submit details in support of statements in the Questionnaire or Annexes thereto, which relate to the design team.  The applicant shall submit details in support of statements in the Questionnaire or Annexes thereto, which relate to manufacture and supply of major items of equipment and sub-systems, Quality Assurance and Cost Control.  The applicant shall submit details in support of

### Part II - PRO-FORMA APPLICATION FORM

## PRO-FORMA LETTER OF APPLICATION (on Firm's Letter Head)

(On Lead member's letterhead in case of Group)

The Managing Director,
Delhi Metro Rail Corporation Ltd.,
Metro Bhawan, Fire Brigade Lane,
Barakhamba Road,
New Delhi 110001
India
(Applicant to provide date and reference)
Dear Sir,

## **APPLICATION FOR ELIGIBILITY CRITERIA**

**Contract CS-12B:** Design, manufacture, supply, installation, testing & commissioning of telephone system and 48V DC power supply system for Dilshad Garden – New Bus Adda Ghaziabad and Noida City centre- Noida Electronic City of Delhi MRTS Phase-III projects.

We, the undersigned, apply to be eligibility criteria for the referenced work and in support of the application submit herewith one original and one copy of the required documents.

(In the case of other than a sole proprietorship firm add this following paragraph)

A Power of Attorney to sign and submit this letter is attached on page

(In the	e case of a joint venture/partnership/consortium add this paragraph)
appro	application is submitted on behalf of a joint venture/partnership/consortium (applicant to delete as opriate) comprising
	per of joint venture/partnership/consortium) has agreed to act as leader. Each member has prepared a ment of participation in relation to this application and these are contained in Section 2 herewith on page
We de	eclare the following:
(a)	We are duly authorized to represent and act on behalf of (hereinafter the "Applicant")
(b)	We have examined and have no reservations to the Eligibility Criteria Document including Addenda No(s) (please mention addendum number)
(c)	We, including any subcontractors for any part of the contract resulting from this eligibility criteria, do not have any conflict of interest
(d)	We are attaching with this letter, the copies of original documents defining: -
i)	the Applicant's legal status;
ii)	its principal place of business; and
iii)	its place of incorporation (if Applicants are corporations); or its place of registration (if Applicants are partnerships or individually owned firms).

For applicants as joint ventures / consortium, all information requested in the eligibility criteria documents is to be provided for the joint venture, if it already exists, and for each joint venture partner separately. The lead partner should be clearly identified. Each member of Group shall sign the letter.

- (e) We further declare that we have not engaged any agent or middleman for this eligibility criteria process or the tenders arising from it. We have not paid / will not be paying any commissions, gratuities or fees with respect to the eligibility criteria process.
- (f) DMRC and/or its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents and information submitted in connection with this application, and to seek clarification from our bankers and clients. This Letter of Application will also serve as authorization for any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and as requested by DMRC.
- (g) DMRC and/or its authorized representatives may contact the following nodal persons for further information on any aspects of the Application:

Contact 1	Name	Telephone 1	E Mail
	Address		

- (h) This application is made in the full understanding that:
  - i) tenders by eligibility criteria Applicants will be subject to verification of all information submitted for eligibility criteria at the time of tendering;
  - ii) DMRC reserves the right to reject or accept any or all applications, cancel the eligibility criteria process without any obligation to inform the applicant about the grounds of same.

Applicants who are not JV or Consortium should delete paragraphs i, and j and initial the deletions.

- (i) Appended to this application, we give details of the participation of each party, including capital contribution and profit/loss agreements, in the joint venture / consortium. We also specify the financial commitment in terms of the percentage of the value of the (each) contract, and the responsibilities for execution of the (each) contract.
- (j) We confirm that in the event of tender, as well as any resulting contract, will be:
  - i) signed so as to legally bind all partners, jointly and severally; and
  - ii) submitted with a conformed joint venture agreement
- (k) The undersigned declare that the statements made and the information provided in the duly completed application are complete, true, and correct in every detail. We also understand that in the event of any information furnished by us being found later on to be incorrect or any material information having been suppressed, the following action can be taken:
  - i) Our name will be removed from the panel of eligibility criteria qualified agencies.
  - ii) Any tender submitted by us on the basis of eligibility criteria may not be considered.
  - iii) If any tender from us is accepted and a contract awarded to us on the basis of our eligibility criteria, the tender acceptance may be withdrawn and the contract awarded to us cancelled without any financial claim / Arbitration request from our side.

NAME
In the Capacity of
Signed
Duly authorized to sign the Application for and on behalf of
Date

## PRO-FORMA LETTER OF PARTICIPATION FROM EACH MEMBER OF A GROUP

(On each Firm's Letter Head)

(Separate letter required for each Applicant)

The Managing Director, Delhi Metro Rail Corporation Ltd., Metro Bhawan, Fire Brigade Lane BaraKhamba Road, New Delhi-110001, India. (Applicant to provide date and reference) APPLICATION FOR ELIGIBILITY CRITERIA **CONTRACT CS-12B** Dear Sir, We wish to confirm that our company/firm (delete as appropriate) has formed/intends (delete as appropriate) to form a group with ...... (Member to insert names of all other members of the group) for purposes associated with Contract CS12B. (Members who are not the lead member of the group should add the following paragraph). The group is led by ...... (Member to insert name of lead member) whom we hereby authorise to act on our behalf for the purposes of applying for assessment. (Member being the lead member of the group should add the following paragraph) In this group we act as leader and, for the purposes of applying for assessment, represent the group. In the event of our group being invited to tender for Contract CS12B, we agree to be jointly (with other members of our group) and severally liable to Delhi Metro Rail Corporation Limited (DMRC), its successors and assigns for all obligations, duties and responsibilities arising from or imposed by any contract subsequently entered into between DMRC and our group. Yours faithfully, (Signature) ..... (Name of Signatory) .....

(Capacity of Signatory) .....

### **ELIGIBILITY CRITERIA QUESTIONNAIRE**

Notes: 1. Each page of the Questionnaire and contents of Sections shall be stamped and signed by the Authorized signatory of the Applicant.

- 2. This entire Questionnaire proforma, shall be completed in all respects.
- 3. Questionnaire pro-forma relating to the various sections shall be incorporated in the respective sections i.e. Pro-forma section 2 (replies to questions 9 to 14) will be included in the contents of section 2.
- 4. In the box  $\begin{bmatrix} Y & N \end{bmatrix}$  'Y' denotes Yes and 'N' denotes No. Please tick mark ( $\sqrt{}$ ) whichever is applicable or write Yes or No explicitly, as the case may be.
- 5. The Document submitted shall be numbered sequentially and the page number of each answer should be noted against the respective item below:

# PROFORMA SECTION 1 - GENERAL

	Number of contract for which assessment is sought: CS-12B		
1	Number of contract for which assessment is sought. C3-12B		
2	Title of contract for which assessment is sought: Design, manufacture, supply, installation, testing & commissioning of telephone system and 48V DC power supply system for Dilshad Garden – New Bus Adda Ghaziabad and Noida City centre- Noida Electronic City of Delhi MRTS Phase-III projects.		
3	State the structure of the applicant's organization (applicants to complete / delete as appropriate) Individual company or firm Joint venture Partnership Consortium Other (please specify)		
4	For applicants who are individual companies or firms, state the following:  Name of Company or Firm:		
5	For applicants who are in joint venture, partnership, consortium or other association.  State the following:  Names of members		

	Contact person's title:  Address, telephone, e-mail ID and facsimile number of contact person:		
5a.	In case of mergers / acquisition within the past 10 years, state the following:  Name of the Applicant (Each member in case of group)  by the applicant (Member in case of groud)  dates of merger / acquisition:  Name  Date of	up) an	d the
6	For the applicant, ( in case of group, for each constituent member), state the following information:  Date of incorporation of organization.  Names and Titles of Directors or partners.  Has the company or firm ever failed to complete any work awarded to it in last 10 years? If Yes, give explanation.  In case of mergers / acquisitions, has any of the merged / acquired Companies of the applicant( or any constituent member in case of Group), ever failed to complete any work awarded to it prior to its being merged / acquired in the last 10 years.  If yes give explanations.  Is the firm or company involved or financially interested in any other business not directly associated with the area of work for which assessment is sought? If yes, give details.  Does the company or firm have an office or branch office in India?  Y N  If so, provide address (es).		
	<ul> <li>(Applicants are to present this information on sheets which are to be clearly referenced as being to this Question 6).</li> <li>Does your company( in case of a group, each constituent member)</li> </ul>		
7.	combine the functions of a designer with those of manufacturer? Please elaborate.	Υ	N .
8a.	In case of International Applicants, is an Indian partner experienced in an approciate a member of the Group?  • If Yes, provide list of disciplines / products.	e disci Y	pline N
	If not, give reasons for inclusion.  In case of International Applicants, has an association been formed with an Indian	 partn	er(s)
8b.	for transfer of technology for system assembly, installation, maintenance and software customization / modification of systems.		
	If Yes, provide list of disciplines / products     Y N		
	If not, given reasons for exclusion?		

9.	Does Section 2 contain Power of Attorney which empowers the person or persons to sign and submit the letter of application on behalf of the applicant and, if applicable, the statements of participation on behalf of all constituent members of the group?  If not, give reasons.	YN
10.	In the case of an incorporated applicant (or constituent members who are incorporated) does Section 2 contain copies, in English, of the Memorandum and Articles of Association or equivalent expression of corporate capacity?  If not, give reasons.	YN
11.	In the case of applications from groups, does Section 2 contain statements of participation in the form appearing in the Preassessment Brochure for each member?  In the case of groups, have you enclosed a MOU signed by each member that they will be jointly and severally responsible for the entire work?  If not, give reasons.	Y N
	Have there been previous collaborations between constituent members?	
12.	If yes, give details.	YN
13.	In the case of applications from groups, does Section 2 contain copies of the MOU, group agreements or other documents establishing or intending to establish the formation of such a group? If not, give reasons.	YN
14.	<ul> <li>In the case of applications from groups, does Section 2 contain details of Proposed equity participation by each constituent member for the proposed work?</li> <li>Areas of specialization / responsibility of each member for the proposed work?</li> <li>Extent of participation (including deployment of major plant items and key personnel) by each member for the proposed work?</li> <li>Have you provided detailed information of the sub-systems, to be designed and/or manufactured by each member?</li> <li>If the answer to any question is no, please give reasons.</li> </ul>	f:

15.	State the number of years the applicant (or each constituent member) has been in busines		
13.	under the business name appearing in the answer to question 4 or 5 above.		
	Name	No. of years	
	(1)		
	(2)		
	(3)		
	etc.		
40	State the number of years the applica-	ant (or each constituent member) has been	
16.	undertaking work similar in scope and nature to t	he works for which assessment is sought.	
	Name	No. of years	
	(1)		
	(2)		
	(3)		
	etc.		
	Performance Record: Applicant should s	crutinise the contract description contained in	
17a.	this Assessment Brochure and compile a list	showing their previous experience of similar	
	contracts completed during the last 10 years. The	e applicant must demonstrate experience in all	
	areas covered by this contract including design,	manufacturing, supply, installaing, testing and	
	commissioning of systems of sizes and technological	ogy comparable to those contemplated under	
	this contract and also show capability of full i	ntegration of sub-systems with other project	
	elements. Ability to provide these systems in a short time period on schedule must be		
	demonstrated. Further information and literature associated with system performance and		
	reliability and relevant experience of the applicant and each constituent member shall be clearly		
	referenced and enclosed in Section 3. In case of acquisitions / mergers, similar information		
	should be supplied by the acquired / merged companies of the Applicant (or any constituent		
	member in case of Group) in respect of works awarded to such acquired / merged companies		
	prior to their acquisition / merger in the last 10 years. All the material should be clearly		
	referenced as being in response to this Question	n 17a.	
	Do you ( and each constituent member of the constituent member of	ne group) authorize DMRC	
	to make enquiries with any of the Cli		
	Have you (and each constituent member of	the group) provided details	
	required in Annexure 1 and 1A for si		
	last 10 years?		
	Have details required in Annex - 1 and Annex - 1.	ex – 1A been given in	
	respect of mergers/ acquisitions for		
	their merger/acquisition?	YN	
	If the answer is No, give explanation	s.	
	Have details required in Annex - 1 and Annex - 1.	nex1A been given in	
	respect of sub-contractors for the las	st 10 years?	
	If the answer is No, give explanation	s.	

	(Use a separate sheet for each Contract and include in the Questionnaire)						
476	Work in Hand. Applicant or each constituent member should indicate, in the form below: Details						
17b.	for each similar contract / commitment which is not yet completed or for which letter of intent or						
	acceptance has been received.						
	(Applicants are to present this information in the format shown below but on sheets which are to						
	be included in the Questionnaire).						
	Have you(and each constituent member of the group) provided details required						
	in Annexure 2 and 2A?						
	(Use a separate sheet for each Contract and include in the Questionnaire).						
17c.	Annual financial turnover of the applicant / me	ember in case of group, for the last 5 years.					
	Name of the applicant	Annual financial Turnover in equivalent Indian					
	(Member in case of group)	Rs. At 31.03.2015 price level (Assuming inflation					
	Year rate as given in Annexure 1) related to business						
	in the field of Telecommunication products and						
		parts.					

18.	Not used				
19a.	Not used				
19b.	Confirm that the Project Leader shall be continuously positioned in Delhi (India) Project Office throughout the period of the Contract.				
19c.	Which member of the applicant / group will provide the Project Leader? Provide the academic and professional qualifications and experience of the proposed Project Leader.				
20.	Provide in Section 4 the following:				
	Relevant CV's of the Key Design / Development & Production Engineers and Specialists of all relevant discipline from each group member proposed for the present Contract, indicating thei qualification, length of service, previous experience of work similar in nature to the works for which assessment is sought and their involvement in those contracts. This should be given separately for each systems / sub-systems and number limited to 20 for each system /sub-system.				
21.	Provide in Section 4 the following:				
	Details of your current management organization as the applicant or, if a group, of each constituent member.				
	A proposed management organization for the Contract, specifying the location of the personnel. Clearly specify the location where the personnel shall be based. Specify a minimum ten (10) number of personnel from the applicant or from the Lead Group member in case of joint venture /partnership/consortium who will be based in Delhi (India) Project Office throughout the Contract period.				

22.	Include in Section 5 details of ownership and control of applicant,				
	or if a group, of each constituent member.				
23.	Have you in Section 5 provided documents, including Banking				
	Reference, to demonstrate that you have access to, or have	YN			
	available, liquid assets, lines of credit and other financial means				
	sufficient to meet cash flow net of your commitments for other				
	contracts.				
	Do you authorize DMRC to make enquiries with any of the Banks	YN			
	you listed in this application?				
	Have you provided Annual Reports of the Applicant (and of each				
	constituent member in the case of a group)for each of the last five	YN			
	years and also information given in Annexure 3. This information				
	may also be given for a Sub-contractor, if he is supplying a system/				
	sub-system.				
	,				

24.	Do you intend, to engage the services of an independent
	professional designer for the purposes of undertaking the
	design of any of the sub-systems?
	If yes, provide the name or names of potential professional designers,
	their capability, resources and the extent of their participation.
	Further details and literature should be enclosed in Section 6,
	clearly referenced as being in response to this Question 24.
25.	Do you intend, to sub-contract with other manufacturers any part  of the work?
	If yes, in Section 6, provide the name or names of the sub-contractors,
	their experience, resources and the extent of their participation, clearly
	referenced as being in response to this Question 25.
	Provide details of all sub-systems that will be designed in-house.
	Provide information on systems that will be manufactured by the applicant.
	What is the part of the total work for CS12B proposed to be sub-contracted? (in percentage)
26a.	Applicant ( each member in case of Group) is to include in Section 6
	information relating to the availability of major manufacturing plants for
	this work.
	Applicant or each constituent member thereof should provide, in Section 6,
	information on the details, number, location and products of manufacturing
	plants and Design Offices that they own or operate that they would use for
	this Contract, clearly specifying for each, the product to be supplied from it
	for the present Contract.
	Provide similar information for manufacturing plants available in India.
	Provide information on the test facilities used for proving the performance
	and quality of your systems.

	Provide the number and specifications of CAD, Plotters and work stations available for the designs for the systems and sub-systems, the computing power and programmes available for the contract			
26b.	Quality Assurance Programme:  Do Applicants or each constituent member currently maintain an in-house Quality Assurance programme?  Y N			
	If yes, give details.			
	Have you ( or each constituent member in the case of a group) had  Any of your recent projects ISO 9000/ 9001/9002 certified?  If yes, give details			
26c.	Do you (each constituent member) have cost control mechanism in your organization.			

27.		SHE Management Systems
	•	Do the Applicant have Qualified & competent SHE organisation at its Corporate Head office level?
		If yes, give details along with Organization Chart?
	•	Do the Applicant has SHE Management Systems in the form of Organization SHE Manual, SHE procedure / Instructions & Annual SHE Programme & its monitoring system?
		If yes, give details.
	•	Did the Applicant receive any notice from Government Statutory Body on violation of norms on Safety Occupational Health & Environment?
		If yes, give details.

## **VERIFICATION STATEMENT**

28.	I confirm and declare that no agent, middleman or any intermediary has been, or will be, engaged by me to provide any services, or any other item or work related to the award and performance of this Contract. I further confirm and declare that no agency commission or any payment which may be construed as an agency commission has been, or will be, paid by me and that the tender price will not include any such amount.
	Signed:
	(to be signed by applicant and each member of the group)
	Date:
29.	Applicants are to verify that the information contained in this completed Questionnaire, any annex thereto and all supporting and explanatory information is, to their best knowledge and belief, truthful and exact.
	By virtue of my signature below, I confirm to my best knowledge and belief, the information contained in this questionnaire and sections, any annex thereto and all supporting and explanatory information, is truthful and exact.
	Signed :
	(Same signatory as on letter of application)]
	Date:

# **ANNEXURE 1**

Name of the Applicant / Member:

Project Title:	Location:				
Scope:	Address:				
Client:					
Client's Representative:	Tele:				
Type of Contract:  TELEPHONE SYSTEM AND 48V DC POWER SUPPLY SYSTEM;					
Others (Specify)  Please √ whichever is applicable					
Was an Indian Government standard form of contract used?  Y N  If Yes, give details:					
Was an international standard form of contract used?  If Yes, give details:  Y N					
Was the work carried alone or as a member of the group?					
If a group, indicate percentage participation and area/s of participation.					
Date work commenced.  Date, work was completed.					
Was the date of completion given in the original contract extended?  If so, how much and why?					

Was any penalties imposed	Did the applicant go in for				
for delay?	Arbitration?				
If yes, give details.	If yes, give details.				
Were any penalties imposed for	Did the applicant go in for				
reasons other than delay?	Litigation?				
If yes, give details.	If yes, give details.				
Rupees and 2% for foreign currency portion ever the applicant / member in his own name should b Group, only that portion of the contract which is u	Contract value as on 31.03.2016 prices in Rupee equivalent, assuming 5% inflation for Indian Rupees and 2% for foreign currency portion every year. Only the value of contract as executed by the applicant / member in his own name should be indicated. Where a work is undertaken by a Group, only that portion of the contract which is undertaken by the concerned applicant / member should be included and the remaining done by the other members of the Group excluded.				
Details of work undertaken by the concerned app	licant / member.				
Were Quality Assurance obligations required in the contract?					
If yes, whether they were fulfilled?					
Were specified performance requirements of the	systems Y N				
achieved?					
If yes, please give details of reliability in terms of	availability of				
the systems achieved during warranty period or three years					
from the date of commissioning whichever is later.					
If No, give reasons.					
Has the applicant (or any member of a group) been blacklisted by a client?  Y N					

If Yes, why?	
Was there any complaint received from the client relating to the performance of the Systems?	YN
If yes, give details.	
Did the arrangements / facilities exist to rectify defects during warranty period?	YN
If yes, give details including the location of facilities.	
Was technology transfer a part of the contract?	YN
Was the technology transfer achieved as contracted?	YN
If yes, what was the time frame?	

## **ANNEXURE 1-A**

# SUMMARY OF INFORMATION PROVIDED IN ANNEXURE - 1

Name of applicant (each member in case of Group)	Total number of contracts completed in last 10 years	Type and number of system supplied of each type by the concerned applicant / member: TELEPHONE SYSTEM AND 48V DC POWER SUPPLY SYSTEM with NMS (more than 2.06 crores at 31.03.2016 price level or 3 stations)	Number for which contractor has gone in for Litigation / Arbitration		Number of current Contracts in which date of completion given in the original has already burst	Total value of balance works yet to be done in Rupee equivalent as on 31.03.2016(Assume inflation as given in Annexure 1)
			Litigation Arbitration			

Contract CS-12B: Design, manufacture, supply, installation, testing & commissioning of telephone system and 48V DC power supply system for Dilshad Garden - New Bus Adda Ghaziabad and Noida City centre- Noida Electronic City of Delhi MRTS Phase-III projects. ANNEXURE - 2 Name of the applicant or member of constituent Group Applicants (each member of the Group) should provide information on their current commitments or all contracts that have been awarded or for which a letter of intent or acceptance has been received or for contracts approaching completion but for which a completion certificate is yet to be issued. Particulars of Name of client Value of balance Estimated Type of System for the Contract with telephone concerned applicant / work yet to be done completion date number member: TELEPHONE in Rupee equivalent SYSTEM AND 48V DC as on POWER SUPPLY SYSTEM 31.03.2016(Assume with NMS inflation as given in Annexure 1)

# **ANNEXURE 2A**

# SUMMARY OF INFORMATION FOR WORKS COMPLETED/WORK IN HAND

Name of applicant (each member in case of Group)	Total number of contracts completed in last 10 years	Type and number of system supplied of each type by the concerned applicant / member: TELEPHONE SYSTEM AND 48V DC POWER SUPPLY SYSTEM with NMS	Number for which contractor has gone in for Litigation / Arbitration		Number of current Contracts in which date of completion given in the original has already burst	Total value of balance works yet to be done in Rupee equivalent as on 31.03.2016(Assume inflation as given in Annexure 1)
			Litigation Arbitration			
NOTE:	This informa	ation should be for	all the work	ks in progres	s including thos	e listed in Annexure

### **PART III - EVALUATION CRITERIA**

### **Evaluation factors**

To be successful in Evaluation Criteria, the Applicants are required to demonstrate their capabilities by providing material based on their experience, past performance, their technical and organizational capability, equipment and financial resources.

Evaluation shall be done based on the information furnished by the applicant in the Eligibility Criteria document. If an Applicant submits the information regarding their capabilities pertaining to their principal, same shall not be considered for evaluation unless a clear undertaking from the principal is attached to the effect that principal shall take responsibilities of all liabilities in the event of award of work to the subsidiary. This aspect shall be further scrutinized at tender stage.

### 1. EVALUATION CRITERIA

Evaluation will be done in two stages:

- **Stage I Initial Filter**: The Applicants will be first evaluated on the initial filter criteria given in Annexure-A. The applicants who do not pass this test will not be evaluated further.
- **Stage II Final Evaluation:** Applicants who pass the Initial Filter Test will be evaluated on the topics listed below in Table-1:

Table 1 Assessment Topic

TOPIC NO.	ASSESSMENT TOPIC
T <sub>1</sub>	Net worth
T <sub>2</sub>	Average annual Turnover
T <sub>3</sub>	Profitability
T <sub>4</sub>	Length of time in business
T <sub>5</sub>	Number of TELEPHONE SYSTEM AND 48V DC POWER SUPPLY SYSTEM works completed in last 10 years

**Annexure A** 

# EVALUATION OF ELIGIBILITY CRITERIA APPLICATIONS CS-12B CONTRACT

## **INITIAL FILTER OF APPLICANTS - CHECKLIST**

Name of Applicant:	
Marrie of Applicant.	

No.	Criteria	Yes	No
1	Has the Applicant (in case of JV/Consortium any member) abandoned any work in the last Ten years?		
2	Has the Applicant (in case of JV/Consortium any member) suffered bankruptcy/insolvency in the last five years?		
3	Has the Applicant (in case of JV/Consortium any member) been blacklisted by Govt. of India/State Govt./Govt. undertaking as on the date of Eligibility Criteria submission? (Bidder to give an undertaking to this effect)		
4	Has any misleading information been given in the application?		
5	Is the Net worth of Applicant (in case of JV/Consortium, as per 1.1.3.2 B (iii) of NIT) negative?		
6	Is certification from the applicant missing that no agent/ middleman has been or will be engaged or has any agency commission been or will be paid?		
7	Do the documents submitted by the applicant reveal that agency commission has been or will be paid?		
8	Has the applicant not successfully completed at least one single work of supply, laying, commissioning of telephone cabling (minimum 10 kms.) and one single work of design, supply, installation, testing, commissioning and project management for Telephone System consisting of minimum 3 number of minimum 64 line EPABX each confirming to ITU-T standards networked together with centralized Network Management System in India or in a country other than the country of origin of the concerned Applicant Member.?		
	Note: -		
	Please submit documents in support of the claim.		

**Note:** A "YES" answers to question 1 to 8 will disqualify the Applicant.